

## ANNEX 7

### GUIDANCE ON HOW TO SEEK ASSISTANCE

This information is drawn from the recently finalized and published manual *The London Protocol: What is it and how to implement it*.<sup>1</sup> Specifically, it looks at the several means to obtain the assistance mentioned in part 3: What resources and assistance are available?

It aims to provide a brief overview of the different ways to seek assistance, whether it be from the Secretariat, neighbouring countries or the meetings of the governing bodies under the London Convention and Protocol.

#### How to seek assistance?

- A formal request to the Secretariat at:  
  
Office for the London Convention/Protocol and Ocean Affairs  
International Maritime Organization  
4 Albert Embankment  
London SE1 7SR  
United Kingdom  
  
Email: [olcp@imo.org](mailto:olcp@imo.org)  
Tel: +44 (0)20 7735 7611  
Fax: +44 (0)20 7587 3210
- The request should include reference to article 13 of the London Protocol (see annex 11 of the LP manual for the text of this article). The requesting country should consider what kind of assistance is needed and its cost effectiveness, e.g. a national or regional workshop or bilateral exchange of expertise, and provide information on any specific dumping concerns that need to be addressed. The Secretariat's presentation materials can be retrieved for guidance.
- Through the national focal point, which can be accessed at: <https://gisis.imo.org/Public/CP/Browse.aspx?List=LCP>.
- Through reaching out to neighbouring countries, for instance on implementing new legislation or region-specific issues regarding marine environmental protection.

#### What kind of assistance?

Examples of structured assistance available under the London Convention and Protocol include:

- advice or assistance directly from the Secretariat;
- advice or assistance from the London Protocol Compliance Group;
- twinning/partnering arrangements (see below);
- regional and national workshops to provide guidance and capacity building on implementation of the London Convention and London Protocol;

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<sup>1</sup> Available through IMO Publications at <http://www.imo.org/Publications/Pages/Home.aspx>

- outreach and coordination on compliance responsibilities, reporting and actions to remove barriers to compliance under a flexible implementation plan;
- assistance or guidance on the management and operation of national dumping programmes, including enhanced management of dredged material and designated dumping sites for dredged material;
- educational, training and capacity-building activities on generic and specific waste assessment guidelines and technical issues;
- guidance and consultation on the development of legislation, standards and guidelines supporting implementation of the London Convention and London Protocol; and
- facilitate contacts with other international organizations on the prevention of marine pollution and protection of marine resources through the control of ocean-based and land-based sources of pollution.

### ***Twinning/partnering arrangements***

Countries often share similar concerns and constraints, the experiences from one country can directly assist others in overcoming barriers to the implementation of the Protocol and thus reduce the amount of waste and other matter disposed at sea.

Twinning/partnership may occur between two or more states within a region or between/among any States that desire to cooperate for the purpose of enhancing implementation of the Convention and the Protocol.

The twinning/partnership arrangement is a country led concept (i.e. not led by the Secretariat or any of the LC/LP bodies). The approach is very flexible, and can be adapted to the needs of the country/countries involved. In essence, the countries involved need to agree and be willing to undertake the activities, with the exact content and arrangements for the collaborative partnership/twinning depending on the situation and context.

The Secretariat may also play a role, by providing expertise and assistance, subject to availability of funding, including acting as a facilitator to connect the countries in the twinning/partnership arrangement.

For the countries in need of assistance in the form of a twinning/partnership arrangement, a request should be made to the Secretariat, via any of the options in the section "How to seek assistance". The Secretariat would then carry out an assessment of that country's needs in order to be able to match the country with the most suitable twin/partner(s).

Countries will be requested to share experiences with the governing bodies and the Compliance Group. The B2C Steering Group will be requested to, in due course, evaluate how the arrangement is working out.

### ***Regional and national workshops***

Regional and national workshops have been a significant component of the outreach and capacity building programme. Requests for workshops can be sent directly to the Secretariat. The B2C implementation plan contains a list of workshops that have been conducted previously.

### ***Workshop presentations and outreach material***

As part of the Technical Cooperation and Assistance Programme, a number of presentations have been developed and are used at the London Convention and London Protocol regional and national workshops. An extensive update of these presentations has been finalized in 2014. Presentations are usually modified slightly by the presenters at workshops to accommodate local conditions and needs of the participants.

The presentations are published on the LC/LP website.<sup>2</sup> National authorities seeking information on these issues are encouraged to refer to these slide presentations for general guidance on the LP.

Annex 2 of LC/SG 37/WP.4<sup>3</sup> presents an overview of the PowerPoints, and a brief description of the presentation ("what they are about"), and the recommended target audience for the presentation ("who they are for").

LC/SG 36/16, annex 4<sup>4</sup> contains "building blocks" that were identified as important for the design of the next workshops. Taking these building blocks and the different modules into account, the workshops can be tailor-made for the different country or region, and/or for different groups of participants, e.g. policymakers, port authorities and permitting authorities. The blocks are not sequential; they can and should be used in parallel to design and plan the workshops.

### ***Expert consultations***

During the London Convention and London Protocol regional and national workshops, Contracting Parties have provided expert consultants to support outreach and capacity building efforts sponsored by the Secretariat. In addition, expert consultation from a Contracting Party may be requested by another Contracting Party or a non-Contracting Party for advice on programmatic, operational, or other aspects of sea dumping practices by requesting support through the Secretariat.

The IMO has a Roster of Experts (<http://roster.imo.org>), where experts can be found for providing presentation or other types of advice. Experts proficient in all the official languages of the United Nations are still needed to populate the roster.

### ***Available technical and scientific assistance on waste assessment, environmental impacts, and monitoring***

Technical and scientific guidance is available from the Secretariat to assist national authorities to address each of the questions in the assessment process for dumping of wastes and other matters listed in London Protocol annexes 1 and 2.<sup>5</sup> The primary tools are the waste assessment and management procedures (i.e. Waste Assessment Guidelines or WAGs) contained in London Protocol annex 2. The procedures in London Protocol annex 2 are required to be considered when a permit authority is considering a proposal for dumping wastes into the ocean.

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<sup>2</sup> <http://www.imo.org/en/OurWork/Environment/LCLP/TC/Pages/default.aspx>

<sup>3</sup> An updated version of this document is available for download at:  
<http://www.imo.org/en/OurWork/Environment/LCLP/TC/Pages/default.aspx>

<sup>4</sup> An updated version of this document is available for download at: <http://www.imo.org/en/OurWork/Environment/LCLP/TC/Pages/default.aspx>

<sup>5</sup> The text of the London Protocol and its annexes is available at: <http://www.londonprotocol.org>

### ***Meetings of the Contracting Parties***

Contracting Parties to the London Convention and London Protocol meet jointly on an annual basis to report on activities, discuss issues, and decide on policy and implementation matters relevant to both agreements. Participation in the meetings provides an avenue to:

- raise implementation issues and request information regarding the experiences of other Contracting Parties on those issues;
- contribute to the discussions of other Contracting Party issues;
- meet with and discuss compliance related needs with the London Protocol Compliance Group; and
- learn from the on-the-ground practices of other Contracting Parties. In fact, it is welcomed that experiences on collaborations with other countries are shared through written or oral presentations.

## APPENDIX

### SUMMARY OF AVAILABLE RESOURCE DOCUMENTS

There are a number of guidance documents available to existing and prospective Contracting Parties. These are listed below, and organized by subject for ease of reference by parties. ISBN numbers are provided for documents available for purchase from IMO Publications (<http://www.imo.org/en/Publications/Pages/Home.aspx>).

#### ***"The London Protocol: What is it and how to implement it"***

Referred to as the Manual (ISBN number 978-92-801-1574-1, IMO sales reference number I533E), this document describes the London Protocol and provides a practical guide to implementing it. The Manual provides useful, practical information to existing and prospective Contracting Parties, particularly those of developing countries, on the technical, economic and legal implications of ratifying or acceding to the London Protocol. The document also provides information on resources and assistance available to prospective and existing Contracting Parties to assist in understanding the Protocol as well as in the implementation of its legal, technical and scientific requirements. This document also provides specific information on the following treaty obligations:

- **Establishing national legislative measures – laws and regulations:**  
Part 2, paragraph 3 (page 17) of the Manual and examples in Annex 6 (3) and (4) (pages 54 & 55) of the Manual. For additional information on this subject, see the *Guidance on the National Implementation of the 1996 Protocol to the London Convention 1972*.  
<http://www.imo.org/en/OurWork/Environment/LCLP/Compliance/Documents/Guide%20to%20national%20impl%20English.pdf>.
- **Establishing a permitting system:**  
Part 2, paragraph 4.1 (page 20) and examples in Annex 6 (1) and (2) of the Manual (page 53). Annex 8 of the Manual provides a sample permit on page 61.
- **Establishing compliance and enforcement procedure and penalties**  
Part 2, paragraph 4.2 of the Manual (page 22) and examples in Annex 6 (5) (page 55).

#### ***Technical guidance related to waste assessment***

The "Generic waste assessment guidelines", 2008 are presented in London Protocol, annex 2. There are also nine separate guidelines addressing the assessment of specific waste categories:

- .1 Revised Specific Guidelines for Assessment of Dredged Material (2013)
- .2 Specific Guidelines for Assessment for Sewage Sludge (2000)
- .3 Specific Guidelines for Assessment for Fish Waste (2000)
- .4 Specific Guidelines for Assessment for Vessels (2000)
- .5 Specific Guidelines for Assessment for Platforms (2000)

- .6 Specific Guidelines for Assessment for Inert, Inorganic Geological Material (2000) with addition of Eligibility Criteria for Inert, Inorganic Geological Material (2006)
- .7 Specific Guidelines for Assessment for Organic Material of Natural Origin (2000)
- .8 Specific Guidelines for Assessment of Bulky Items (2010)
- .9 Carbon Dioxide Streams Assessment Guidelines (2012)

These waste assessment guidelines are available at IMO publications (ISBN 978-92-801-1613-7, IMO publication sales number IA531E).

<http://www.imo.org/en/OurWork/Environment/LCLP/Publications/wag/Documents/2014%20WAGs%20English.zip>.

Guidelines on Low Cost, Low Technology Assessment of Dredged Material, IMO, 2015, ISBN 978-92-801-1606-9, IMO publication in English sales number I540E, with French and Spanish versions to follow eventually.

In addition, waste assessment is addressed in the Waste Assessment Guidelines Training Set. <http://www.imo.org/en/OurWork/Environment/LCLP/Publications/wag/Documents/WAG%20TS.zip>.

This set of documents includes the following:

- Tutorial: Guidelines for the Assessment of Wastes Proposed for Disposal at Sea.
- Instructors' Manual
- Electronic presentation slides
- Waste Assessment Guidelines Training Set Extension for the Application of Low-technology Techniques for Assessing Dredged Material (this document presents information about applying low-technology techniques to assess dredged material)

### ***Technical guidance related to monitoring***

The Low Technology Low Cost Field Monitoring Assessment of the Effects of Disposal in Marine Waters of Dredged Material or Inert, Inorganic, Geological Material (adopted at the 2015 Governing Bodies meeting, see document LC 37/16) describes monitoring obligations under the London Protocol and basic techniques that can be employed to meet them.

### ***Technical guidance on other London Protocol topics***

*Guidance for the Development of Action Lists and Action Levels for Dredged Material*, IMO, 2009, ISBN 978-92-801-1504-8, IMO publication sales number I538M.

*Guidelines for the Application of the de minimis Concept under the London Convention 1972* (to determine *de minimis* levels of radionuclides in wastes), 2003.

<http://www.imo.org/en/OurWork/Environment/LCLP/Publications/wag/Documents/2003%20de%20minimis%20guidelines.pdf>.

*Guidelines for the Sampling and Analysis of Dredged Material Intended for Sea Disposal*, IMO 2005, ISBN 92-801-4192-9, IMO publication sales number I537E.

*London Convention and Protocol/UNEP Guidelines for the Placement of Artificial Reefs*, 2009. [http://www.imo.org/en/OurWork/Environment/LCLP/Publications/Documents/London\\_convention\\_UNEP\\_Low-res-Artificial%20Reefs.pdf](http://www.imo.org/en/OurWork/Environment/LCLP/Publications/Documents/London_convention_UNEP_Low-res-Artificial%20Reefs.pdf)

*Guidelines for the Development of Action Lists and Levels for Fish Waste*, IMO, 2012, ISBN 978-92-801-15765, IMO publication sales number I539E.

*Ocean Fertilization Assessment Framework*, 2010.

<http://www.imo.org/en/OurWork/Environment/LCLP/EmergingIssues/geoengineering/Pages/default.aspx>.

*Guidance on the Agreements and Arrangements Related to the Export of Carbon Dioxide Streams*, 2013, available in IMODOCS, LC 35/15 annex 6.

*Risk Assessment Guidelines on Carbon Dioxide*, 2006.

<http://www.imo.org/en/OurWork/Environment/LCLP/EmergingIssues/CCS/Pages/default.aspx>.

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